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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/046,909	01/17/2002	Masayoshi Nishitani	24886	3391
20529	7590	05/01/2006		
NATH & ASSOCIATES 112 South West Street Alexandria, VA 22314			EXAMINER CERVETTI, DAVID GARCIA	
			ART UNIT 2136	PAPER NUMBER

DATE MAILED: 05/01/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/046,909

Applicant(s)

NISHITANI ET AL.

Examiner

David G. Cervetti

Art Unit

2136

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 23 February 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 17 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☒ Some \* c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date _____   |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

1. Applicant's arguments filed February 23, 2006 have been fully considered.
2. Claims 1-9 are pending and have been examined.

#### ***Continued Examination Under 37 CFR 1.114***

3. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114.

#### ***Response to Amendment***

4. The combination of Braudaway and Powell teaches selecting a cluster of pixels and works on pixels and adjacent pixels (columns 9-10, 16), furthermore, Abe also teaches using a modified contiguous pixel to a specified pixel (columns 3-5). Applicant's arguments are not persuasive.
5. Assuming *arguendo* the combination of Braudaway, Powell, and Abe fails to teach the claimed invention, the combination is at the very least clearly related to the invention and provides the architecture to implement the claimed invention. Taking this architecture as a starting point, then, the instant application claims a modification in the calculation as the alleged difference between the prior art of record and the claimed invention. The combination, however, does teach or suggest the claimed invention as it is further explained below.

***Claim Rejections - 35 USC § 103***

6. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

7. **Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Braudaway et al. (US Patent Number: 5,825,892, hereinafter "Braudaway"), and further in view of Powell et al. (US Patent Number: 6,137,892, hereinafter "Powell").**

Regarding claim 1, Braudaway teaches a digital watermarking apparatus comprising: specifying means for specifying a line of pixel data included in received image signals (column 8, lines 1-6); encryption data generating means for encrypting the digital watermark and for outputting encryption data (column 7, lines 1-10); and using non-overlapping selector positions and statistical relationships between elements and their neighbors or non-neighbors (column 21, lines 15-38).

Braudaway does not disclose expressly comparing an average of intensity values or color difference values of all pixels in the specified line in the received image signals with an intensity value or a color difference value of each pixel in a line adjacent to the specified line and in which the digital watermark is to be embedded.

However, Powell teaches mixing means for comparing an average of intensity values or color difference values of all pixels in the specified line in the received image signals with an intensity value or a color difference value of each pixel in a line adjacent to the specified line and in which the digital watermark is to be embedded (column 4, lines 10-34), to find, for all pixels in the adjacent line, a first counter value and a second

Art Unit: 2136

counter value, said first counter value indicating a number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating a number of pixels each of which has an intensity value or a color difference value smaller than the average, for transforming the intensity value or the color difference value of each pixel in the adjacent line (column 7, lines 5-40) such that a large and small relation between the first counter value and the second counter value obtained by the comparison with the average becomes a preset large and small relation according to a first value or a second value of the encryption data from said encryption data generating means, and for outputting the received image signals as watermarked image signals (column 4, lines 1-67, column 5, lines 1-30).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use difference of averages to create the digital watermark in the system of Braudaway. One of ordinary skill in the art would have been motivated to perform such a modification to permit modification of the image without losing the digital signature (Powell, column 1, lines 37-49).

**Regarding claim 2**, the combination of Braudaway and Powell teaches the limitations as set forth under claim 1 above. Furthermore, Powell teaches wherein said mixing means comprises: average calculating means for calculating the average of the intensity values or the color difference values of the pixels in the specified line of the received image signals (column 4, lines 1-35); counter value calculating means for comparing the average with the intensity value or the color difference value of each pixel in the adjacent line to calculate, for all pixels in the adjacent line, the first counter

Art Unit: 2136

value and the second counter value, said first counter value indicating the number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating the number of pixels each of which has an intensity value or a color difference value smaller than the average; counter value comparing means for comparing the first counter value and the second counter value; and transforming means for transforming the intensity values or the color difference values of all pixels in the adjacent line (column 5, lines 1-15) such that, when the value of the encryption data from said encryption generating means is the first value, said counter value comparing means gives a comparison result indicating that the first counter value is larger than the second counter value and such that, when the value of the encryption data from said encryption generating means is the second value, said counter value comparing means gives a comparison result indicating that the first counter value is smaller than the second counter value, wherein the transformed signals are output as the watermarked image signals, the intensity value or the color difference value or each pixel in the adjacent line of the transformed signals being transformed by said transforming means according to the value of the encryption data (column 4, lines 1-67, column 5, lines 1-24, column 8, lines 1-5).

**Regarding claim 3,** Braudaway teaches a digital watermarking method comprising: a first step for specifying a line of pixel data included in received image signals (column 8, lines 1-6); a second step for encrypting a digital watermark and for outputting encryption data (column 7, lines 1-10); and using non-overlapping selector

Art Unit: 2136

positions and statistical relationships between elements and their neighbors or non-neighbors (column 21, lines 15-38).

Braudaway does not disclose expressly comparing an average of intensity values or color difference values of all pixels in the specified line in the received image signals with an intensity value or a color difference value of each pixel in a line adjacent to the specified line and in which the digital watermark is to be embedded.

However, Powell teaches a third step for comparing an average of intensity values or color difference values of all pixels in the specified line in the received image signals with an intensity value or a color difference value of each pixel in a line adjacent to the specified line and in which the digital watermark is to be embedded (column 4, lines 1-67), to find, for all pixels in the adjacent line, a first counter value and a second counter value, said first counter value indicating a number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating a number of pixels each of which has an intensity value or a color difference value smaller than the average, and a fourth step for transforming the intensity value or the color difference value of each pixel in the adjacent line (column 7, lines 5-40) such that a large and small relation between the first counter value and the second counter value obtained by the comparison with the average becomes a preset large and small relation according to a first value or a second value of the encryption data and for outputting the received image signals as watermarked image signals (column 4, lines 1-67, column 5, lines 1-30).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use difference of averages to create the digital watermark in the system of Braudaway. One of ordinary skill in the art would have been motivated to perform such a modification to permit modification of the image without losing the digital signature (Powell, column 1, lines 37-49).

**Regarding claim 4**, the combination of Braudaway and Powell teaches the limitations as set forth under claim 3 above. Furthermore, Powell teaches wherein said third step comprises: a fifth step for calculating the average of the intensity values or the color difference values of the pixels in the specified line of the received image signals (column 4, lines 1-35); and a sixth step for comparing the average with the intensity value or the color difference value of each pixel in the adjacent line to calculate, for all pixels in the adjacent line, the first counter value and the second counter value, said first counter value indicating the number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating the number of pixels each of which has an intensity value or a color difference value smaller than the average, and wherein said fourth step comprises: a seventh step for comparing the first counter value and the second counter value; and an eighth step for transforming the intensity values or the color difference values of all pixels in the adjacent line (column 5, lines 1-15) such that, when the value of the encryption data is the first value, a comparison result indicating that the first counter value is larger than the second counter value is obtained and such that, when the value of the encryption data is the second value, a comparison result indicating that the first counter value is



Art Unit: 2136

smaller than the second counter value is obtained (column 4, lines 1-67, column 5, lines 1-24, column 8, lines 1-5).

**Regarding claim 5**, Braudaway teaches a digital watermark reproducing apparatus comprising: specifying means for receiving digitally watermarked image signals as input signals and for specifying a line of pixel data (column 8, lines 1-6), said digitally watermarked image signals being generated by transforming signals in a line adjacent to the specified line of the image signals according to a value of encryption data generated by encrypting a digital watermark (column 16, lines 1-30).

Braudaway does not disclose expressly extracting means and a decrypting means.

However, Powell teaches extracting means for comparing an average of intensity values or color difference values of all pixels in the specified line in the digitally watermarked image signals with an intensity value or a color difference value of each pixel in the adjacent line (column 4, lines 10-34, column 6, lines 12-28) to find, for all pixels in the adjacent line, a first counter value and a second counter value, said first counter value indicating a number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating a number of pixels each of which has an intensity value or a color difference value smaller than the average, and for extracting from the adjacent line the encryption data which is determined to be a first value or a second value according to a large and small relation between the first counter value and the second counter value obtained by the comparison with the average (column 6, lines 1-67, column 7, lines 1-40); and a

Art Unit: 2136

decrypting means for decrypting the extracted the encryption data to an original watermark for output (column 4, lines 1-67, column 5, lines 1-30, column 6, lines 1-64).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use difference of averages to create the digital watermark in the system of Braudaway. One of ordinary skill in the art would have been motivated to perform such a modification to permit modification of the image without losing the digital signature (Powell, column 1, lines 37-49).

**Regarding claim 6**, the combination of Braudaway and Powell teaches the limitations as set forth under claim 5 above. Furthermore, Braudaway teaches wherein said extracting means comprises: average calculating means for calculating the average of the intensity values or the color difference values of the pixels in the specified line of the digitally watermarked image signals (column 17, lines 5-44); counter value calculating means for comparing the average with the intensity value or the color difference value of each pixel in the adjacent line to calculate, for all pixels in the adjacent line, the first counter value and the second counter value, said first counter value indicating the number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating the number of pixels each of which has an intensity value or a color difference value smaller than the average (column 16, lines 31-67); counter value comparing means for comparing the first counter value and the second counter value; and encryption data extracting means for extracting the encryption data determined to be the first value when said counter value comparing means gives a comparison result indicating that the

first counter value is larger than the second counter value or for extracting the encryption data determined to be the second value (column 16, lines 1-67) when said counter value comparing means gives a comparison result indicating that the first counter value is smaller than the second counter value (column 21, lines 5-37).

**Regarding claim 7**, Braudaway teaches a digital watermark reproducing method comprising: a first step for receiving digitally watermarked image signals as input signals and for specifying a line of pixel data, said digitally watermarked image signals being generated by transforming signals in a line adjacent to the specified line of the image signals according to a value of encryption data generated by encrypting a digital watermark (column 16, lines 1-30).

Braudaway does not disclose expressly comparing an average of intensity values or color difference values of all pixels in the specified line, extracting from the adjacent line the encryption data, or decrypting the extracted encryption data to an original watermark for output.

However, Powell teaches a second step for comparing an average of intensity values or color difference values of all pixels in the specified line in the digitally watermarked image signals with an intensity value or a color difference value of each pixel in the adjacent line (column 6, lines 12-28) to find, for all pixels in the adjacent line, a first counter value and a second counter value, said first counter value indicating a number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating a number of pixels each of which has an intensity value or a color difference value smaller than the average

Art Unit: 2136

(column 6, lines 29-54); a third step for extracting from the adjacent line the encryption data which is determined to be a first value or a second value according to a large and small relation between the first counter value and the second counter value obtained by the comparison with the average; and a fourth step for decrypting the extracted encryption data to an original watermark for output (column 6, lines 55-64).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use difference of averages to create the digital watermark in the system of Braudaway. One of ordinary skill in the art would have been motivated to perform such a modification to permit modification of the image without losing the digital signature (Powell, column 1, lines 37-49).

**Regarding claim 8**, the combination of Braudaway and Powell teaches the limitations as set forth under claim 7 above. Furthermore, Braudaway teaches wherein said second step comprises: a fifth step for calculating the average of the intensity values or the color difference values of the pixels in the specified line of the digitally watermarked image signals (column 17, lines 5-44); and a sixth step for comparing the average with the intensity value or the color difference value of each pixel in the adjacent line to calculate, for all pixels in the adjacent line, the first counter value and the second counter value, said first counter value indicating the number of pixels each of which has an intensity value or a color difference value larger than the average, said second counter value indicating the number of pixels each of which has an intensity value or a color difference value smaller than the average (column 16, lines 31-67), and wherein said third step comprises: a seventh step for comparing the first counter value

Art Unit: 2136

and the second counter value; and an eighth step for extracting the encryption data determined to be the first value when said seventh step gives a comparison result indicating that the first counter value is larger than the second counter value or for extracting the encryption data determined to be the second value (column 16, lines 1-67) when said seventh step gives a comparison result indicating that the first counter value is smaller than the second counter value (column 21, lines 1-37).

**8. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Braudaway and Powell as applied to claim 1 above, and further in view of Abe (US Patent Number: 6,580,804).**

Regarding claim 9, the combination of Braudaway and Powell does not expressly disclose wherein said specifying means specifies an edge line of pixel data included in the received image signal. However, Abe teaches wherein said specifying means specifies an edge line of pixel data included in the received image signal (column 3, lines 20-55). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to place a digital watermark along the edge of a digital image. One of ordinary skill in the art would have been motivated to do so make the digital watermark more resistant to image processing and or image deletion without an inordinate amount of calculation (Abe, column 1, lines 10-54).

**Conclusion**

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Miyahara et al. (US Patent 6,404,926) teaches a watermarking system with a pattern shifter.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David G. Cervetti whose telephone number is (571) 272-5861. The examiner can normally be reached on Monday-Friday 7:00 am - 5:00 pm, off on Wednesday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R. Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

DGC

CHRISTOPHER REVAI  
PRIMARY EXAMINER

*Cel 4/29/06*